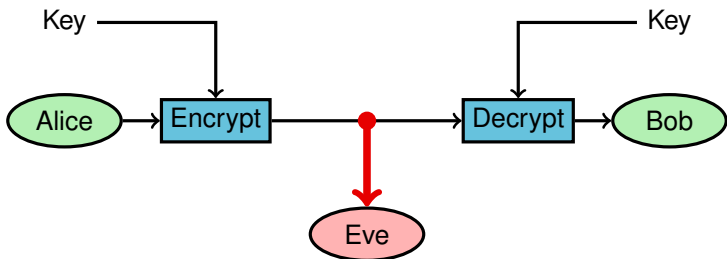


# Cryptography Lecture 6

Public key principles, one-way functions, RSA

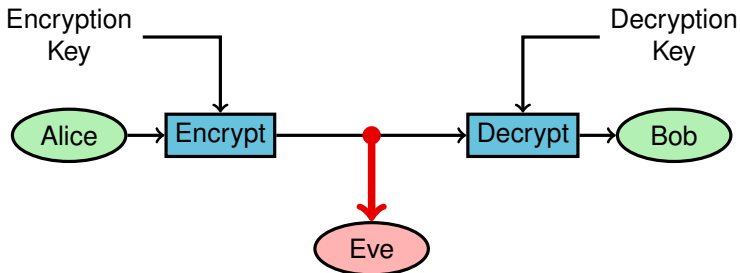
# Symmetric key cryptography

Thus far in the course, we have learnt about systems where the encryption key is the same as the decryption



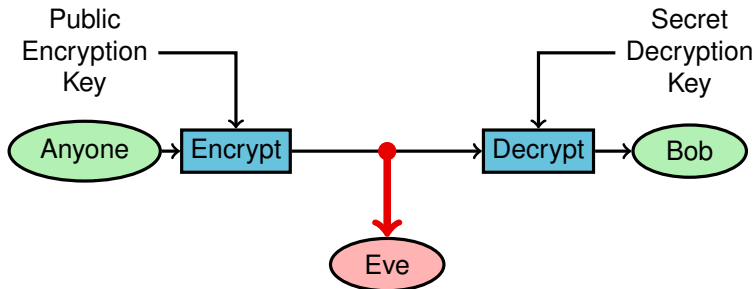
# Asymmetric key cryptography

In 1976, Diffie and Hellman proposed the use of different keys for encryption and decryption



# Public key cryptography

Asymmetric key systems can be used in public key cryptography



# One-way functions

A one-way function is a function that is easy to compute but computationally hard to reverse

- Easy to calculate  $f(x)$  from  $x$
- Hard to invert: to calculate  $x$  from  $f(x)$

There is no proof that one-way functions exist, or even real evidence that they can be constructed

Even so, there are examples that seem one-way: they are easy to compute but we know of no easy way to reverse them, for example

$x^2$  is easy to compute mod  $n = pq$  but  $x^{1/2}$  is not

## One-way function candidate: modular exponentiation

A one-way function is a function that is easy to compute but computationally hard to reverse

- Easy to calculate  $(x^e \bmod n)$  from  $x$
- Hard to invert: to calculate  $x$  from  $(x^e \bmod n)$

Example:  $2^{1233} \bmod 789$

$n$	$2^n \bmod 789$
2	4
4	16
8	256
16	65536=49
32	34
64	367
128	559
256	37
512	580
1024	286

$$2^{1233} = 2^{1024}2^{128}2^{64}2^{16}2^1 = 286 \cdot 559 \cdot 367 \cdot 49 \cdot 2 = 635 \bmod 789$$

## Trapdoor one-way functions

A one-way function is a function that is easy to compute but computationally hard to reverse

- Easy to calculate  $f(x)$  from  $x$
- Hard to invert: to calculate  $x$  from  $f(x)$

A *trapdoor* one-way function has one more property, that with certain knowledge it *is* easy to invert, to calculate  $x$  from  $f(x)$

There is no proof that trapdoor one-way functions exist, or even real evidence that they can be constructed.

A few examples will follow (anyway)

## Trapdoor one-way function candidate: modular exponentiation

A trapdoor one-way function is a function that is easy to compute but computationally hard to reverse

- Easy to calculate  $(x^e \bmod n)$  from  $x$
- Hard to invert: to calculate  $x$  from  $(x^e \bmod n)$

The trapdoor is that with another exponent  $d$  it *is* easy to invert, to calculate  $x = (x^e \bmod n)^d \bmod n$

$$2^{1233} = 635 \bmod 789$$

$$635^{17} = 2 \bmod 789$$

There is no proof that this is a true trapdoor one-way function, but we think it is



## Trapdoor one-way function candidate: modular exponentiation

A trapdoor one-way function is a function that is easy to compute but computationally hard to reverse

- Easy to calculate  $(x^e \bmod n)$  from  $x$
- Hard to invert: to calculate  $x$  from  $(x^e \bmod n)$

The trapdoor is that **with another exponent  $d$  it is easy to invert**, to calculate  $x = (x^e \bmod n)^d \bmod n$

$$2^{1233} = 635 \bmod 789$$

$$635^{17} = 2 \bmod 789$$

There is no proof that this is a true trapdoor one-way function, **but we think it is**

## Mathematical requirements

A trapdoor one-way function is a function that is easy to compute but computationally hard to reverse

- Easy to calculate  $(x^e \bmod n)$  from  $x$
- Hard to invert: to calculate  $x$  from  $(x^e \bmod n)$

The trapdoor is that with another exponent  $d$  it *is* easy to invert, to calculate  $x = (x^e \bmod n)^d \bmod n$

$$x^{1233} = y \bmod 789$$

$$y^{17} = x \bmod 789$$

Somehow,  $(x^{1233})^{17} = x^{1233 \cdot 17} = x^1 \bmod 789$ , that is,  $1233 \cdot 17 = 1$  in the exponent. Why and how do we find the numbers?

# Greatest Common Divisor

$$\text{gcd}(576, 135) =$$

# Greatest Common Divisor

$$\gcd(576, 135) = \gcd(135, 36)$$

The Euclidean algorithm

$$576 = 4 \cdot 135 + 36$$

# Greatest Common Divisor

$$\gcd(576, 135) = \gcd(135, 36) = \gcd(36, 27)$$

The Euclidean algorithm

$$576 = 4 \cdot 135 + 36$$

$$135 = 3 \cdot 36 + 27$$

# Greatest Common Divisor

$$\gcd(576, 135) = \gcd(135, 36) = \gcd(36, 27) = \gcd(27, 9)$$

The Euclidean algorithm

$$576 = 4 \cdot 135 + 36$$

$$135 = 3 \cdot 36 + 27$$

$$36 = 1 \cdot 27 + 9$$

# Greatest Common Divisor

$$\gcd(576, 135) = \gcd(135, 36) = \gcd(36, 27) = \gcd(27, 9) = 9$$

The Euclidean algorithm

$$576 = 4 \cdot 135 + 36$$

$$135 = 3 \cdot 36 + 27$$

$$36 = 1 \cdot 27 + 9$$

$$27 = 3 \cdot 9 + 0$$

# Greatest Common Divisor

**Theorem (the extended Euclidean algorithm):** Given nonzero  $a$  and  $b$ , there exist  $x$  and  $y$  such that

$$ax + by = \gcd(a, b)$$

A proof is available in the book. Outline:

$$576 = 4 \cdot 135 + 36$$

$$135 = 3 \cdot 36 + 27$$

$$36 = 1 \cdot 27 + 9$$

$$27 = 3 \cdot 9 + 0$$



# Greatest Common Divisor

**Theorem (the extended Euclidean algorithm):** Given nonzero  $a$  and  $b$ , there exist  $x$  and  $y$  such that

$$ax + by = \gcd(a, b)$$

A proof is available in the book. Outline:

$$576 = 4 \cdot 135 + 36$$

$$135 = 3 \cdot 36 + 27$$

$$36 = 1 \cdot 27 + 9$$

$$27 = 3 \cdot 9 + 0$$

$$9 = 36 - 1 \cdot 27$$

# Greatest Common Divisor

**Theorem (the extended Euclidean algorithm):** Given nonzero  $a$  and  $b$ , there exist  $x$  and  $y$  such that

$$ax + by = \gcd(a, b)$$

A proof is available in the book. Outline:

$$576 = 4 \cdot 135 + 36$$

$$135 = 3 \cdot 36 + 27$$

$$36 = 1 \cdot 27 + 9$$

$$27 = 3 \cdot 9 + 0$$

$$27 = 135 - 3 \cdot 36$$

$$9 = 36 - 1 \cdot 27$$

# Greatest Common Divisor

**Theorem (the extended Euclidean algorithm):** Given nonzero  $a$  and  $b$ , there exist  $x$  and  $y$  such that

$$ax + by = \gcd(a, b)$$

A proof is available in the book. Outline:

$$576 = 4 \cdot 135 + 36$$

$$135 = 3 \cdot 36 + 27$$

$$36 = 1 \cdot 27 + 9$$

$$27 = 3 \cdot 9 + 0$$

$$36 = 576 - 4 \cdot 135$$

$$27 = 135 - 3 \cdot 36$$

$$9 = 36 - 1 \cdot 27$$

# Greatest Common Divisor

**Theorem (the extended Euclidean algorithm):** Given nonzero  $a$  and  $b$ , there exist  $x$  and  $y$  such that

$$ax + by = \gcd(a, b)$$

A proof is available in the book. Outline:

$$576 = 4 \cdot 135 + 36$$

$$135 = 3 \cdot 36 + 27$$

$$36 = 1 \cdot 27 + 9$$

$$27 = 3 \cdot 9 + 0$$

$$36 = 576 - 4 \cdot 135$$

$$27 = 135 - 3 \cdot 36$$

$$9 = 36 - 1 \cdot 27$$

$$\begin{aligned} 9 &= 36 - 27 = 36 - (135 - 3 \cdot 36) = -135 + 4 \cdot 36 \\ &= -135 + 4 \cdot (576 - 4 \cdot 135) = 4 \cdot 576 - 17 \cdot 135 \end{aligned}$$

## Arithmetic mod $n$

- Numbers mod  $n$  are equal (congruent) if their difference is a multiple of  $n$
- Addition, subtraction, and multiplication mod  $n$  works as usual:

$$5 = 27 \text{ mod } 11 \quad \text{because } 27 - 5 = 2 \cdot 11$$

$$5 + 7 = 1 \text{ mod } 11 \quad \text{because } (5 + 7) - 1 = 11$$

$$5 - 7 = 9 \text{ mod } 11 \quad \text{because } 9 - (5 - 7) = 11$$

$$5 \cdot 7 = 2 \text{ mod } 11 \quad \text{because } (5 \cdot 7) - 2 = 3 \cdot 11$$

- But division is not always possible

## Division mod $n$

If  $\gcd(a, n) = 1$ , then you can divide by  $a$ , because of the following theorem:

**Theorem:** If  $\gcd(a, n) = 1$  there exists an  $x$  such that  $ax = 1 \pmod n$

**Proof:** The extended Euclidean algorithm gives us  $x$  and  $y$  so that  $ax + ny = 1$ . Now,

$$ax + ny = ax \pmod n$$

so

$$ax = 1 \pmod n$$

## Division mod $n$

Example: solve

$$5x + 6 = 2 \pmod{11}$$

$$5x = -4 \pmod{11}$$

Division by 5 is possible because  $\gcd(5, 11) = 1$ , and the extended Euclidean algorithm gives  $-2 \cdot 5 + 1 \cdot 11 = 1$  so that  $-2 = 1/5 \pmod{11}$ .

$$5x = 7 \pmod{11}$$

$$-2 \cdot 5x = -2 \cdot 7 \pmod{11}$$

$$x = -14 \pmod{11}$$

$$x = 8 \pmod{11}$$

## Division mod $n$

Example: solve

$$5x + 6 = 2 \pmod{12}$$

$$5x = -4 \pmod{12}$$

Division by 5 is possible because  $\gcd(5, 12) = 1$ , and the extended Euclidean algorithm gives  $-7 \cdot 5 + 3 \cdot 12 = 1$  so that  $-7 = 1/5 \pmod{12}$ .

$$5x = 8 \pmod{12}$$

$$-7 \cdot 5x = -7 \cdot 8 \pmod{12}$$

$$x = -56 \pmod{12}$$

$$x = 4 \pmod{12}$$



## Division mod $n$

Example: solve

$$5x + 6 = 2 \pmod{10}$$

Division by 5 is not possible because  $\gcd(5, 10) = 5$ .

- If  $x$  is odd, the left-hand side is odd while the right-hand side is even, so no solutions.
- If  $x$  is even, the left-hand side is 6 (mod 10, whatever value  $x$  has), and the right-hand side is 2 (mod 10), so no solutions

## Division mod $n$

Example: solve

$$6x + 6 = 2 \pmod{10}$$

Division by 6 is not possible because  $\gcd(6, 10) = 2$ .

And yet there are solutions, because all terms have a factor 2. In this case, you should solve the reduced congruence

$$3x + 3 = 1 \pmod{5},$$

Division with 3 (multiplication with 2) gives

$$x + 1 = 2 \pmod{5},$$

so that  $x = 1$  is the solution. The original equation has the solutions 1 and 6, both  $\equiv 1 \pmod{5}$

## Division mod $n$

Division by 5 mod 11 is possible because  $\gcd(5, 11) = 1$ , and the extended Euclidean algorithm gives  $-2 \cdot 5 + 1 \cdot 11 = 1$  so that  $-2 = 1/5 \pmod{11}$ .

Division by 5 mod 12 is possible because  $\gcd(5, 12) = 1$ , and the extended Euclidean algorithm gives  $-7 \cdot 5 + 3 \cdot 12 = 1$  so that  $-7 = 1/5 \pmod{12}$ .

Division by 5 mod 10 is not possible because  $\gcd(5, 10) = 5$ .

OK. But we want to divide in the exponent:

$$x^{1233-17} = x^1 \pmod{789}$$

## Fermat's little theorem

Having learnt how division works  $(\text{mod } p)$ , we can prove

**Theorem:** If  $p$  is a prime and  $p$  does not divide  $a$ , then  $a^{p-1} = 1 \text{ mod } p$

**Proof:** Since  $p$  does not divide  $a$ ,  $a^{-1}$  exists  $\text{mod } p$ , which means that multiplication with  $a$  is one-to-one. Then

$$(a \cdot 1)(a \cdot 2) \dots (a \cdot (p-1)) = 1 \cdot 2 \cdot \dots \cdot (p-1) \text{ mod } p$$

and since  $p$  does not divide  $1 \cdot 2 \cdot \dots \cdot (p-1)$ , we can divide with the right-hand side and obtain the congruence of the theorem

## Fermat's little theorem

Having learnt how division works (mod  $p$ ), we can prove

**Theorem:** If  $p$  is a prime and  $p$  does not divide  $a$ , then  $a^{p-1} = 1 \pmod{p}$

**Proof:** Since  $p$  does not divide  $a$ ,  $a^{-1}$  exists mod  $p$ , which means that multiplication with  $a$  is one-to-one. Then

$$(a \cdot 1)(a \cdot 2) \dots (a \cdot (p-1)) = 1 \cdot 2 \cdot \dots \cdot (p-1) \pmod{p}$$

and since  $p$  does not divide  $1 \cdot 2 \cdot \dots \cdot (p-1)$ , we can divide with the right-hand side and obtain the congruence of the theorem

**Example:**  $3^4 = 1 \pmod{5}$ ;  $3^{42} = 1 \pmod{43}$

## Fermat's little theorem, again

Having learnt how division works (mod  $p$ ), we can prove

**Theorem:** If  $p$  is a prime and  $p$  does not divide  $a$ , then  $a^{p-1} = 1 = a^0 \pmod{p}$

**In other words:** Calculations that are mod  $p$  in the base number are mod  $p - 1$  in the exponent

**Example:**

$$3^4 = 1 \pmod{5}, 3^5 = 3 \pmod{5};$$
$$33^{42} = 1 \pmod{43}, 33^{43} = 33 \pmod{43}$$

## Trapdoor one-way function candidate: exponentiation modulo a prime $p$ ?

A trapdoor one-way function is a function that is easy to compute but computationally hard to reverse

- Easy to calculate  $(x^e \bmod p)$  from  $x$
- Hard to invert: to calculate  $x$  from  $(x^e \bmod p)$ ?

The trapdoor is that with another exponent  $d$  it *is* easy to invert, to calculate  $x = (x^e \bmod p)^d \bmod p$

Calculations in the exponent are mod  $p - 1$ , so we need  $ed = 1 \bmod p - 1$

Unfortunately, the extended Euclidean algorithm is an **efficient algorithm** to find  $d$ . This is not good enough.

# Trapdoor one-way function candidate: modular exponentiation

A trapdoor one-way function is a function that is easy to compute but computationally hard to reverse

- Easy to calculate  $(x^e \bmod n)$  from  $x$
- Hard to invert: to calculate  $x$  from  $(x^e \bmod n)$ ?

The trapdoor is that with another exponent  $d$  it *is* easy to invert, to calculate  $x = (x^e \bmod n)^d \bmod n$

What about composite  $n$ ?



# Euler's theorem

Having learnt how division works (mod  $n$ ), we can prove

**Theorem:** If  $\gcd(a, n) = 1$ , then

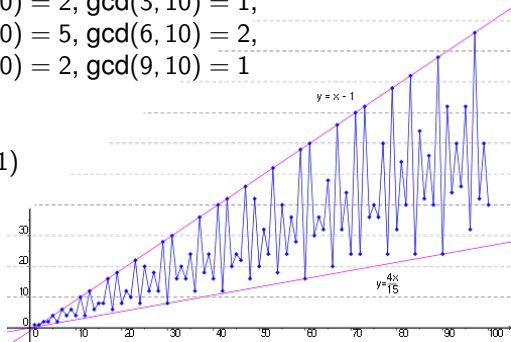
$$a^{\phi(n)} = 1 \pmod{n},$$

where  $\phi(n)$  is the number of integers  $1 \leq x \leq n$  such that  $\gcd(x, n) = 1$

# Euler's totient function $\phi(n)$

Euler's totient function  $\phi(n)$  is the number of integers  $1 \leq x \leq n$  such that  $\gcd(x, n) = 1$

- $\phi(p) = p - 1$  if  $p$  is prime
- $\phi(10) = 4$  because  
 $\gcd(1, 10) = 1$ ,  $\gcd(2, 10) = 2$ ,  $\gcd(3, 10) = 1$ ,  
 $\gcd(4, 10) = 2$ ,  $\gcd(5, 10) = 5$ ,  $\gcd(6, 10) = 2$ ,  
 $\gcd(7, 10) = 1$ ,  $\gcd(8, 10) = 2$ ,  $\gcd(9, 10) = 1$
- $\phi(pq) = (p - 1)(q - 1)$
- $\phi(p^2q) = p(p - 1)(q - 1)$



# Euler's theorem

Having learnt how division works (mod  $n$ ), we can prove

**Theorem:** If  $\gcd(a, n) = 1$ , then

$$a^{\phi(n)} = 1 \pmod{n},$$

where  $\phi(n)$  is the number of integers  $1 \leq x \leq n$  such that  $\gcd(x, n) = 1$

**Proof:** Since  $\gcd(a, n) = 1$ ,  $a^{-1}$  exists mod  $n$ , which means that multiplication with  $a$  is one-to-one. For the integers  $1 \leq x_i \leq n$  such that  $\gcd(x_i, n) = 1$ , it holds that  $\gcd(ax_i, n) = 1$ , so

$$(a \cdot x_1)(a \cdot x_2) \cdot \dots \cdot (a \cdot x_{\phi(n)}) = x_1 x_2 \cdot \dots \cdot x_{\phi(n)} \pmod{n}$$

and since  $\gcd(x_1 x_2 \dots x_{\phi(n)}, n) = 1$ , we can divide with the right-hand side and obtain the congruence of the theorem

## Euler's theorem, again

Having learnt how division works (mod  $n$ ), we can prove

**Theorem:** If  $\gcd(a, n) = 1$ , then

$$a^{\phi(n)} = 1 \pmod{n},$$

where  $\phi(n)$  is the number of integers  $1 \leq x \leq n$  such that  $\gcd(x, n) = 1$

**In other words:** Calculations that are mod  $n$  in the base number are mod  $\phi(n)$  in the exponent

**Example:**

$$x^{1233 \cdot 17} = x^1 \pmod{789} = 263 \times 3, \text{ because} \\ 1233 \cdot 17 = 1 \pmod{524} = \phi(789) = 262 \times 2,$$

## Trapdoor one-way function candidate: modular exponentiation

A trapdoor one-way function is a function that is easy to compute but computationally hard to reverse

- Easy to calculate  $(x^e \bmod n)$  from  $x$
- Hard to invert: to calculate  $x$  from  $(x^e \bmod n)$ ?

The trapdoor is that with another exponent  $d$  it *is* easy to invert, to calculate  $x = (x^e \bmod n)^d \bmod n$

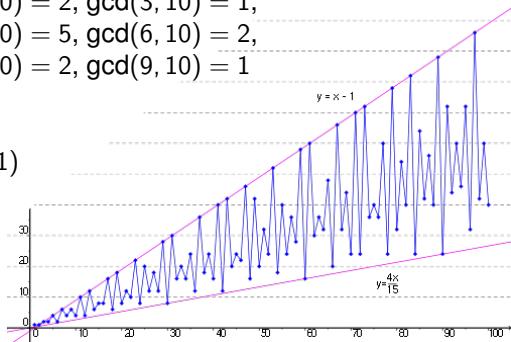
Calculations in the exponent are mod  $\phi(n)$ , so we need  $ed = 1 \bmod \phi(n)$

The extended Euclidean algorithm is an efficient algorithm to find  $d$ , but you need to know  $\phi(n)$ , **otherwise it won't work!**

# Euler's totient function $\phi(n)$

Euler's totient function  $\phi(n)$  is the number of integers  $1 \leq x \leq n$  such that  $\gcd(x, n) = 1$

- $\phi(p) = p - 1$  if  $p$  is prime
- $\phi(10) = 4$  because  
 $\gcd(1, 10) = 1$ ,  $\gcd(2, 10) = 2$ ,  $\gcd(3, 10) = 1$ ,  
 $\gcd(4, 10) = 2$ ,  $\gcd(5, 10) = 5$ ,  $\gcd(6, 10) = 2$ ,  
 $\gcd(7, 10) = 1$ ,  $\gcd(8, 10) = 2$ ,  $\gcd(9, 10) = 1$
- $\phi(pq) = (p - 1)(q - 1)$
- $\phi(p^2q) = p(p - 1)(q - 1)$



# Trapdoor one-way function candidate: exponentiation modulo $n = pq$

A trapdoor one-way function is a function that is easy to compute but computationally hard to reverse

- Easy to calculate  $(x^e \bmod n)$  from  $x$
- Hard to invert: to calculate  $x$  from  $(x^e \bmod n)$ ?

The trapdoor is that with another exponent  $d$  it *is* easy to invert, to calculate  $x = (x^e \bmod n)^d \bmod n$

Euler's theorem tells us that if we use  $n = pq$ , and *know the factorization*, we can calculate  $\phi(n) = \phi(pq) = (p-1)(q-1)$  and also  $d$ .

OK, so we use a large composite  $n = pq$  that cannot be factored efficiently

Trapdoor one-way function candidate: exponentiation modulo  
 $n = pq$

Euler's theorem tells us that if we use  $n = pq$ , and *know the factorization*, we can calculate  $\phi(n) = \phi(pq) = (p-1)(q-1)$  and also  $d$ .

But that is only *one possible method*. Perhaps there are others?

How hard is it to solve for  $x$  in

$$x^e = c \pmod n?$$

We will see that it is equally hard as factoring  $n = pq$



## Square roots mod $n$

$x^2 = 1 \pmod{7}$  has the solutions  $\pm 1$  (as for all odd primes)

$x^2 = 1 \pmod{15}$  has the solutions  $\pm 1, \pm 4$

The last seems simple enough ( $\pm 1 \pmod{3}$  and  $\pm 1 \pmod{5}$ ), but how do we find solutions in general?

# Chinese remaindering

Example:

$$x = 25 \pmod{42} \Rightarrow \begin{cases} x = 4 \pmod{7} \\ x = 1 \pmod{6} \end{cases}$$

# Chinese remaindering

Example:

$$x = 25 \pmod{42} \Rightarrow \begin{cases} x = 4 \pmod{7} \\ x = 1 \pmod{6} \end{cases}$$

Chinese remainder theorem:

$$x = 25 \pmod{42} \Leftrightarrow \begin{cases} x = 4 \pmod{7} \\ x = 1 \pmod{6} \end{cases}$$

## Chinese remaindering

**Theorem:** Suppose  $\gcd(n, m) = 1$ . Given integers  $a$  and  $b$ , there exists exactly one solution  $x \bmod mn$  to the simultaneous congruences

$$\begin{cases} x = a \bmod m \\ x = b \bmod n \end{cases}$$

**Proof:** The extended Euclidean algorithm gives us  $s$  and  $t$  such that  $ms + nt = 1$ , or

$$ms = 1 \bmod n \quad \text{and} \quad nt = 1 \bmod m.$$

The number  $x = bms + ant$  is a solution because

$$x = bms = b \bmod n \quad \text{and} \quad x = ant = a \bmod m.$$

If  $y$  is another solution, then  $x = y \bmod n$  and  $x = y \bmod m$ , so  $x = y \bmod mn$ .

## Square roots mod 15

**Example:** Solve  $x^2 = 1 \pmod{15}$ .

- Break the congruence into two congruences over prime powers, since this is easier to solve
- Combine the solutions through Chinese remaindering

$$x^2 = 1 \pmod{3} \text{ has solutions } x = \pm 1 \pmod{3}$$

$$x^2 = 1 \pmod{5} \text{ has solutions } x = \pm 1 \pmod{5}$$

In total four combinations

$$x = +1 \pmod{3}, x = +1 \pmod{5} \text{ gives } x = +1 \pmod{15}$$

$$x = +1 \pmod{3}, x = -1 \pmod{5} \text{ gives } x = +4 \pmod{15}$$

$$x = -1 \pmod{3}, x = +1 \pmod{5} \text{ gives } x = -4 \pmod{15}$$

$$x = -1 \pmod{3}, x = -1 \pmod{5} \text{ gives } x = -1 \pmod{15}$$

## Square roots mod $pq$

If we can solve  $x^2 = y \pmod{pq}$ , there will be four different solutions,  $\pm a$  and  $\pm b$ , which will simultaneously solve  $x^2 = y \pmod{p}$  and  $x^2 = y \pmod{q}$ :

$$x = +a \pmod{pq} \text{ gives } x = +a \pmod{p} \text{ and } x = +a \pmod{q}$$

$$x = -a \pmod{pq} \text{ gives } x = -a \pmod{p} \text{ and } x = -a \pmod{q}$$

$$x = +b \pmod{pq} \text{ gives } x = +b \pmod{p} \text{ and } x = +b \pmod{q}$$

$$x = -b \pmod{pq} \text{ gives } x = -b \pmod{p} \text{ and } x = -b \pmod{q}$$

## Square roots mod $pq$

If we can solve  $x^2 = y \pmod{pq}$ , there will be four different solutions,  $\pm a$  and  $\pm b$ , which will simultaneously solve  $x^2 = y \pmod{p}$  and  $x^2 = y \pmod{q}$ :

$$x = +a \pmod{pq} \text{ gives } x = +a \pmod{p} \text{ and } x = +a \pmod{q}$$

$$x = -a \pmod{pq} \text{ gives } x = -a \pmod{p} \text{ and } x = -a \pmod{q}$$

$$x = +b \pmod{pq} \text{ gives } x = +b \pmod{p} \text{ and } x = +b \pmod{q}$$

$$x = -b \pmod{pq} \text{ gives } x = -b \pmod{p} \text{ and } x = -b \pmod{q}$$

- This means that  $a = b \pmod{p}$  or  $a = -b \pmod{p}$

## Square roots mod $pq$

If we can solve  $x^2 = y \pmod{pq}$ , there will be four different solutions,  $\pm a$  and  $\pm b$ , which will simultaneously solve  $x^2 = y \pmod{p}$  and  $x^2 = y \pmod{q}$ :

$$x = +a \pmod{pq} \text{ gives } x = +a \pmod{p} \text{ and } x = +a \pmod{q}$$

$$x = -a \pmod{pq} \text{ gives } x = -a \pmod{p} \text{ and } x = -a \pmod{q}$$

$$x = +b \pmod{pq} \text{ gives } x = +b \pmod{p} \text{ and } x = +b \pmod{q}$$

$$x = -b \pmod{pq} \text{ gives } x = -b \pmod{p} \text{ and } x = -b \pmod{q}$$

- This means that  $a = b \pmod{p}$  or  $a = -b \pmod{p}$
- If  $a = b \pmod{p}$  then  $a = -b \pmod{q}$



## Square roots mod $pq$

If we can solve  $x^2 = y \pmod{pq}$ , there will be four different solutions,  $\pm a$  and  $\pm b$ , which will simultaneously solve  $x^2 = y \pmod{p}$  and  $x^2 = y \pmod{q}$ :

$x = +a \pmod{pq}$  gives  $x = +a \pmod{p}$  and  $x = +a \pmod{q}$

$x = -a \pmod{pq}$  gives  $x = -a \pmod{p}$  and  $x = -a \pmod{q}$

$x = +b \pmod{pq}$  gives  $x = +b \pmod{p}$  and  $x = +b \pmod{q}$

$x = -b \pmod{pq}$  gives  $x = -b \pmod{p}$  and  $x = -b \pmod{q}$

- This means that  $a = b \pmod{p}$  or  $a = -b \pmod{p}$
- If  $a = b \pmod{p}$  then  $a = -b \pmod{q}$ , in other words  $p$  divides  $a - b$  while  $q$  does not

## Square roots mod $pq$

If we can solve  $x^2 = y \pmod{pq}$ , there will be four different solutions,  $\pm a$  and  $\pm b$ , which will simultaneously solve  $x^2 = y \pmod{p}$  and  $x^2 = y \pmod{q}$ :

$x = +a \pmod{pq}$  gives  $x = +a \pmod{p}$  and  $x = +a \pmod{q}$

$x = -a \pmod{pq}$  gives  $x = -a \pmod{p}$  and  $x = -a \pmod{q}$

$x = +b \pmod{pq}$  gives  $x = +b \pmod{p}$  and  $x = +b \pmod{q}$

$x = -b \pmod{pq}$  gives  $x = -b \pmod{p}$  and  $x = -b \pmod{q}$

- This means that  $a = b \pmod{p}$  or  $a = -b \pmod{p}$
- If  $a = b \pmod{p}$  then  $a = -b \pmod{q}$ , in other words  $p$  divides  $a - b$  while  $q$  does not, so that  $\gcd(a - b, n) = p$  and we have factored  $n$

## Square roots mod $pq$

If we can solve  $x^2 = y \pmod{pq}$ , there will be four different solutions,  $\pm a$  and  $\pm b$ , which will simultaneously solve  $x^2 = y \pmod{p}$  and  $x^2 = y \pmod{q}$ :

$x = +a \pmod{pq}$  gives  $x = +a \pmod{p}$  and  $x = +a \pmod{q}$

$x = -a \pmod{pq}$  gives  $x = -a \pmod{p}$  and  $x = -a \pmod{q}$

$x = +b \pmod{pq}$  gives  $x = +b \pmod{p}$  and  $x = +b \pmod{q}$

$x = -b \pmod{pq}$  gives  $x = -b \pmod{p}$  and  $x = -b \pmod{q}$

- This means that  $a = b \pmod{p}$  or  $a = -b \pmod{p}$
- If  $a = b \pmod{p}$  then  $a = -b \pmod{q}$ , in other words  $p$  divides  $a - b$  while  $q$  does not, so that  $\gcd(a - b, n) = p$  and we have factored  $n$
- If  $a = -b \pmod{p}$  then  $a = b \pmod{q}$ , then  $\gcd(a - b, n) = q$

## Trapdoor one-way function candidate: exponentiation modulo

$$n = pq$$

A trapdoor one-way function is a function that is easy to compute but computationally hard to reverse

- Easy to calculate  $(x^e \bmod n)$  from  $x$
- Hard to invert: to calculate  $x$  from  $(x^e \bmod n)$ ?

The trapdoor is that with another exponent  $d$  it *is* easy to invert, to calculate  $x = (x^e \bmod n)^d \bmod n$

We have shown (using the Chinese remainder theorem) that solving  $x^2 = c \bmod pq$ , obtaining four roots  $\pm a \neq \pm b$ , is equally hard as factoring  $n = pq$ .

## Rivest Shamir Adleman (1977)

- Bob chooses secret primes  $p$  and  $q$ , and sets  $n = pq$
- Bob chooses  $e$  with  $\gcd(e, \phi(n)) = 1$
- Bob computes  $d$  so that  $de = 1 \pmod{\phi(n)}$
- Bob makes  $n$  and  $e$  public but keeps  $p$ ,  $q$  and  $d$  secret
- Alice encrypts  $m$  as  $c = m^e \pmod{n}$
- Bob decrypts  $c$  as  $m = c^d \pmod{n}$

Choose  $p$  and  $q$ : Test for primality

**Theorem (Fermat's little theorem):** If  $n$  is prime and  $a \not\equiv 0 \pmod n$ , then  $a^{n-1} \equiv 1 \pmod n$

**Fermat primality test:** To test  $n$ , take a random  $a \not\equiv 0, \pm 1 \pmod n$ . If  $a^{n-1} \not\equiv 1$ , then  $n$  is composite, otherwise  $n$  is prime with high probability

## Choose $p$ and $q$ : Test for primality

**Theorem (Fermat's little theorem):** If  $n$  is prime and  $a \not\equiv 0 \pmod{n}$ , then  $a^{n-1} \equiv 1 \pmod{n}$

**Fermat primality test:** To test  $n$ , take a random  $a \not\equiv 0, \pm 1 \pmod{n}$ . If  $a^{n-1} \not\equiv 1$ , then  $n$  is composite, otherwise  $n$  is prime with high probability

How high? — We'll use a more advanced test

## Choose $p$ and $q$ : Test for primality

**Miller-Rabin primality test:** To test  $n$ , take a random  $a \neq 0, \pm 1 \pmod n$ , and write  $n - 1 = 2^k m$  with  $m$  odd

- Let  $b_0 = a^m$ , if this is  $\pm 1$  then stop:  $n$  is probably prime
- Let  $b_{j+1} = b_j^2$ , if this is  $+1$  then stop:  $n$  is composite, if this is  $-1$  then stop:  $n$  is probably prime
- Repeat. If you reach  $b_k$  then  $n$  is composite

(Seems complicated? Let's try to understand how it works...)



## Choose $p$ and $q$ : Test for primality

**Miller-Rabin primality test:** To test  $n$ , take a random  $a \neq 0, \pm 1 \pmod n$ , and write  $n - 1 = 2^k m$  with  $m$  odd

- Let  $b_0 = a^m$ , if this is  $\pm 1$  then stop:  $n$  is probably prime  
(because  $a^{n-1} = 1$ , remember the Fermat primality test)
- Let  $b_{j+1} = b_j^2$ , if this is  $+1$  then stop:  $n$  is composite,  
(because  $b_j \neq \pm 1$ , so we can factor  $n$ )  
if this is  $-1$  then stop:  $n$  is probably prime  
(because  $a^{n-1} = 1$ , Fermat again)
- Repeat. If you reach  $b_k$  then  $n$  is composite  
(if  $b_k = +1$  remember that  $b_{k-1} \neq \pm 1$  so we can factor  $n$ ,  
otherwise  $b_k = a^{n-1} \neq 1$ , remember the Fermat primality test)

## Choose $p$ and $q$ : Only test for primality

- Both the Fermat test and the Miller-Rabin test (and the Solovay-Strassen test in the book) are probabilistic tests.
- They are fast but can fail, the Miller-Rabin test fails with probability less than  $1/4$  (bad value of  $a$ ). Performing the test for say 10 different random values of  $a$  will fail once in a million.
- The primality test from 2004 by Agrawal, Kayal and Saxena is deterministic and polynomial time (efficient), but can nevertheless still not compete with the probabilistic tests

## Choose $p$ and $q$ : Avoid simple factorization

- The **Fermat factorization method** uses
$$n = x^2 - y^2 = (x + y)(x - y)$$
- Calculate  $n + 1^2, n + 2^2, n + 3^2, n + 4^2, n + 5^2, \dots$ , until we reach a square, then we are done.

Example:

$$295927 + 3^2 = 295936 = 544^2$$
$$295927 = 544^2 - 3^2 = 541 \cdot 547$$

- This is unlikely to be a problem for a many-digit  $n = pq$ , but usually  $p$  and  $q$  are chosen to be of slightly different size, to be on the safe side

## Choose $p$ and $q$ : Avoid simple factorization

The **Pollard  $p - 1$  factorization** method uses  $b = a^{B!} \pmod n$  for chosen  $a$  and  $B$ . Calculate  $d = \gcd(b - 1, n)$ . If  $d$  is not 1 or  $n$ , we have factored  $n$ .

This works if one prime factor  $p$  of  $n$  is such that  $p - 1$  has only small factors. If  $B$  is big enough,  $B! = k(p - 1)$ , and  $b = a^{B!} = 1 \pmod p$ . Then,  $b - 1$  contains a factor  $p$ , as does  $n$ .

Solution: choose  $p$  and  $q$  so that  $p - 1$  and  $q - 1$  has at least one large prime factor

## Choose $p$ and $q$ : Test for primality

**Fermat primality test:** Take a random  $a \neq 0, \pm 1 \pmod n$ .

If  $a^{n-1} \neq 1$ , then  $n$  is composite, otherwise  $n$  is prime with high probability

**Miller-Rabin primality test:** Take a random  $a \neq 0, \pm 1 \pmod n$ , and write  $n - 1 = 2^k m$  with  $m$  odd

- Let  $b_0 = a^m$ , if this is  $\pm 1$  then stop:  $n$  is probably prime
- Let  $b_{j+1} = b_j^2$ , if this is  $+1$  then stop:  $n$  is composite, if this is  $-1$  then stop:  $n$  is probably prime
- Repeat. If you reach  $b_k$  then  $n$  is composite

## Choose $p$ and $q$ : Avoid simple factorization

The **Fermat factorization method** works if  $p$  and  $q$  are close, so that trying  $n^2 + 1^2, n^2 + 2^2, n^2 + 3^2, \dots$  will find a square in a reasonable amount of time

Solution: choose  $p$  and  $q$  to be of slightly different size

The **Pollard  $p - 1$  factorization method** works if one prime factor  $p$  of  $n$  is such that  $p - 1$  has only small factors

Solution: choose  $p$  and  $q$  so that  $p - 1$  and  $q - 1$  has at least one large prime factor

# Rivest Shamir Adleman (1977)

- Bob chooses secret primes  $p$  and  $q$ , and sets  $n = pq$ 
  - Choose primes  $p$  and  $q$  using, say, the Miller-Rabin test
  - Choose primes of slightly different size
  - Choose  $p$  and  $q$  so that  $p - 1$  and  $q - 1$  has at least one large prime factor
- Bob chooses  $e$  with  $\gcd(e, \phi(n)) = 1$
- Bob computes  $d$  so that  $de = 1 \pmod{\phi(n)}$
- Bob makes  $n$  and  $e$  public but keeps  $p$ ,  $q$  and  $d$  secret
- Alice encrypts  $m$  as  $c = m^e \pmod{n}$
- Bob decrypts  $c$  as  $m = c^d \pmod{n}$