# Solutions to Written Exam in <br> Data compression TSBK08 

8th June 2023

1 a) See the course literature.
b) See the course literature.
c) See the course literature.
d) See the course literature.

2 Start by showing that an optimal code for blocks of $n$ symbols has a mean codeword length $\bar{l}$ that satisfies

$$
H\left(X_{1}, X_{2}, \ldots, X_{n}\right) \leq \bar{l}<H\left(X_{1}, X_{2}, \ldots, X_{n}\right)+1
$$

See the course literature for how to prove this.
The mean data rate $R=\frac{\bar{l}}{n}$ will then satisfy

$$
\frac{1}{n} H\left(X_{1}, X_{2}, \ldots, X_{n}\right) \leq R<\frac{1}{n} H\left(X_{1}, X_{2}, \ldots, X_{n}\right)+\frac{1}{n}
$$

When $n$ tends toward infinity, $\frac{1}{n} H\left(X_{1}, X_{2}, \ldots, X_{n}\right)$ will tend toward the entropy rate of the source, while $\frac{1}{n}$ will tend toward 0 , showing that the data rate tends toward the entropy rate.
a) Stationary distribution $p\left(x_{i}, x_{i-1}\right)$ for the states (pairs of symbols):

$$
p(a a)=0.5, \quad p(a b)=0.0625, \quad p(b a)=0.0625, \quad p(b b)=0.375
$$

From this distribution we get $H\left(X_{i}, X_{i-1}\right) \approx 1.5306$.
The marginal distribution gives us probabilities for single symbols
$p(a)=p(a a)+p(a b)=0.5625, \quad p(b)=p(b a)+p(b b)=0.4375$
From this distribution we get $H\left(X_{i}\right) \approx \underline{0.9887}$.
Finally, $H\left(X_{i} \mid X_{i-1}, X_{i-2}\right)$ can be calculated as

$$
\begin{aligned}
H\left(X_{i} \mid X_{i-1}, X_{i-2}\right)= & 0.5 \cdot(-0.9 \cdot \log 0.9-0.1 \cdot \log 0.1)+ \\
& 0.0625 \cdot(-0.8 \cdot \log 0.8-0.2 \cdot \log 0.2)+ \\
& 0.0625 \cdot(-0.4 \cdot \log 0.4-0.6 \cdot \log 0.6)+ \\
& 0.375 \cdot(-0.1 \cdot \log 0.1-0.9 \cdot \log 0.9) \\
\approx & 0.5162
\end{aligned}
$$

Alternatively, calculate the entropy $H\left(X_{i}, X_{i-1}, X_{i-2}\right)$ and then $H\left(X_{i} \mid X_{i-1}, X_{i-2}\right)=H\left(X_{i}, X_{i-1}, X_{i-2}\right)-H\left(X_{i-1}, X_{i-2}\right)$.
b) Probabilities for triples are given by

$$
\begin{aligned}
& p\left(x_{i}, x_{i-1}, x_{i-2}\right)=p\left(x_{i-1}, x_{i-2}\right) \cdot p\left(x_{i} \mid x_{i-1} x_{i-2}\right) \\
& p(a a a)=0.45, p(b a a)=0.05, p(a a b)=0.05, p(b a b)=0.0125 \\
& p(a b a)=0.025, p(b b a)=0.0375, p(a b b)=0.0375, p(b b b)=0.3375
\end{aligned}
$$

A huffman code for triples can look like this

| symbols | codeword | symbols | codeword |
| :---: | :--- | :---: | :--- |
| aaa | 0 | baa | 1000 |
| aab | 1010 | bab | 10110 |
| aba | 10111 | bba | 10010 |
| abb | 10011 | bbb | 11 |

The average codeword length for this code is $\bar{l}=2.0875$ bits/codeword, which gives the rate $R \approx 0.6958$ bits/symbol.

4 Assuming we use the alphabet order for the intervall order, with the $a$-interval closest to 0 , the sequence corresponds to the intervall

$$
[0.92401, \quad 0.928812)
$$

with the interval size 0.004802 . We will need at least $\left\lceil-\log _{2} 0.004802\right\rceil=8$ bits in our codeword, maybe one more.

Write the two interval limits as binary numbers:

$$
\begin{aligned}
0.92401 & =0.11101100100010 \ldots \\
0.928812 & =0.11101101110001 \ldots
\end{aligned}
$$

The smallest eight bit number inside the interval is 0.11101101 , but there are numbers starting with these bits that are outside the interval (ie larger than the upper interval limit). Thus, we must use nine bits.

The codeword is $\mathbf{1 1 1 0 1 1 0 1 0}$.
a) The search buffer size is $32=2^{5}$ and we thus need 5 bits to code the offset pointer. The alphabet size is 8 , thus we will use $\log _{2} 8=3$ bits to code a symbol. If we code a single symbol we will use a total of $1+3=4$ bits and if we code a match we will use a total of $1+5+3=9$ bits. This means that it is better to code matches of length 1 and 2 as single symbols. Since we use 3 bits for the lengths, we can then code match lengths between 3 and 10 with our eight available codewords.
b)

| f | O | 1 | c | codeword | sequence |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 0 |  |  | t | 0110 | t |
| 0 |  |  | u | 0111 | u |
| 0 |  |  | r | 0100 | r |
| 1 | 2 | 5 |  | 100010010 | turtu |
| 0 |  |  | S | 0101 | S |
| 1 | 1 | 3 |  | 100001000 | usu |
| 0 |  |  | p | 0010 | p |
| 0 |  |  | o | 0001 | O |
| 1 | 8 | 7 |  | 101000100 | rtususu |
| 0 |  |  | S | 0101 | S |
| 0 |  |  | p | 0010 | p |
| 1 | 0 | 3 |  | 100000000 | ppp |
| 1 | 12 | 3 |  | 101100000 | ort |
| 0 |  |  | n | 0000 | n |

6 Create all cyclic shifts of the sequence and sort them

| Cyclic shifts |  | Sorted shifts |
| :--- | :--- | :--- |
|  | susstuss | sssusstu |
| ssusstus |  | sstusssu |
| sssusstu |  | ssusstus |
| usssusst |  | stusssus |
| tusssuss |  | susstuss |
| stusssus |  | tusssuss |
| sstusssu |  | usssusst |
| usstusss |  | usstusss |

The result of the transform is the sequence uussssts (the last column of the sorted list) and the position in the sorted list where we find the original sequence, ie 4 (assuming we start counting at 0 ).
The mtf coding gives the sequence $2,0,1,0,0,0,2,1$.
$7 \quad$ The differential entropy is given by

$$
\begin{aligned}
h(X) & =-\int_{-\infty}^{\infty} f(x) \log f(x) d x=-2\left(\int_{0}^{1} 0.4 \cdot \log 0.4 d x+\int_{1}^{2} 0.1 \cdot \log 0.1 d x\right) \\
& =-0.8 \cdot \log 4-\log 0.1=/ \text { assuming base } 2 /=\log 10-1.6 \approx 1.7219[\mathrm{bits}]
\end{aligned}
$$

